

**§ 700.93**

**15 CFR Ch. VII (1–1–01 Edition)**

state, territory, or possession of the United States and the District of Columbia.

(b) This regulation and all official actions apply not only to deliveries to other persons but also include deliveries to affiliates and subsidiaries of a person and deliveries from one branch, division, or section of a single entity to another branch, division, or section under common ownership or control.

(c) This regulation and its schedules shall not be construed to affect any administrative actions taken by Commerce, or any outstanding contracts or orders placed pursuant to any of the regulations, orders, schedules or delegations of authority under the Defense Materials System and Defense Priorities System previously issued by Commerce. Such actions, contracts, or orders shall continue in full force and effect under this regulation unless modified or terminated by proper authority.

(d) The repeal of the regulations, orders, schedules and delegations of au-

thority of the Defense Materials System (DMS) and Defense Priorities System (DPS) shall not have the effect to release or extinguish any penalty or liability incurred under the DMS/DPS. The DMS/DPS shall be treated as still remaining in force for the purpose of sustaining any action for the enforcement of such penalty or liability.

**§ 700.93 Communications.**

All communications concerning this regulation, including requests for copies of the regulation and explanatory information, requests for guidance or clarification, and requests for adjustment or exception shall be addressed to the Office of Industrial Resource Administration, Room 3876, U.S. Department of Commerce, Washington, DC 20230, Ref: DPAS; telephone: (202) 482-3634 or FAX: (202) 482-5650.

[49 FR 30414, July 30, 1984. Redesignated at 54 FR 601, Jan. 9, 1989, as amended at 63 FR 31925, June 11, 1998]

**SCHEDULE I TO PART 700—APPROVED PROGRAMS AND DELEGATE AGENCIES**

The programs listed in this schedule have been approved for priorities and allocations support under this part. They have equal preferential status. The Department of Commerce has authorized the Delegate Agencies to use this part in support of those programs assigned to them, as indicated below.

Program identification symbol	Approved program	Delegate agency
<b>Defense programs:</b>		
A1 .....	Aircraft .....	Department of Defense. <sup>1</sup>
A2 .....	Missiles .....	Do.
A3 .....	Ships .....	Do.
A4 .....	Tank—Automotive .....	Do.
A5 .....	Weapons .....	Do.
A6 .....	Ammunition .....	Do.
A7 .....	Electronic and communications equipment .....	Do.
B1 .....	Military building supplies .....	Do.
B8 .....	Production equipment (for defense contractor's account) .....	Do.
B9 .....	Production equipment (Government owned) .....	Do.
C1 .....	Food resources (combat rations) .....	Do.
C2 .....	Department of Defense construction .....	Do.
C3 .....	Maintenance, repair, and operating supplies (MRO) for Department of Defense facilities .....	Do.
C9 .....	Miscellaneous .....	Do.
<b>International defense programs:</b>		
<b>Canada:</b>		
D1 .....	Canadian military programs .....	Department of Commerce.
D2 .....	Canadian production and construction .....	Do.
D3 .....	Canadian atomic energy program .....	Do.
<b>Other Foreign Nations:</b>		
G1 .....	Certain munitions items purchased by foreign governments through domestic commercial channels for export .....	Department of Commerce.
G2 .....	Certain direct defense needs of foreign governments other than Canada .....	Do.
G3 .....	Foreign nations (other than Canada) production and construction .....	Do.
<b>Co-Production:</b>		
J1 .....	F-16 Co-Production Program .....	Departments of Commerce and Defense.

**Bureau of Export Administration, Commerce**

**Pt. 700, Sched. I**

Program identification symbol	Approved program	Delegate agency
Atomic energy programs:		
E1 .....	Construction .....	Department of Energy.
E2 .....	Operations—including maintenance, repair, and operating supplies (MRO) .....	Do.
E3 .....	Privately owned facilities .....	Do.
Domestic energy programs:		
F1 .....	Exploration, production, refining, and transportation .....	Department of Energy.
F2 .....	Conservation .....	Do.
F3 .....	Construction, repair, and maintenance .....	Do.
Other defense, energy, and related programs:		
H1 .....	Certain combined orders (see section 700.17(c)) .....	Department of Commerce.
H5 .....	Private domestic production .....	Do.
H6 .....	Private domestic construction .....	Do.
H7 .....	Maintenance, repair, and operating supplies (MRO) .....	Do.
H8 .....	Designated Programs .....	Do.
K1 .....	Federal supply items .....	General Services Administration.
N1 .....	Emergency preparedness activities .....	Federal Emergency Management Agency. <sup>1</sup> NOTE: Department of Defense includes: Armed Services—Army, Navy (including Marines and Coast Guard), and Air Force; Component Agencies, including Defense Logistics Agency, National Security Agency, Defense Advanced Research Projects Agency, Defense Information Systems Agency, Defense Nuclear Agency, Defense Mapping Agency, and On-Site Inspection Agency; and Associated Agencies, including Central Intelligence Agency and National Aeronautics and Space Administration.

[63 FR 31925, June 11, 1998]

## APPENDIX I TO PART 700—FORM BXA-999—REQUEST FOR SPECIAL PRIORITIES ASSISTANCE

<b>FORM BXA-999</b> REV. 4-98		<b>U.S. DEPARTMENT OF COMMERCE</b> BUREAU OF EXPORT ADMINISTRATION	FOR DOC USE OMB NO. 0694-0057
<b>REQUEST FOR SPECIAL PRIORITIES ASSISTANCE</b>			CASE NO. _____
READ INSTRUCTIONS ON BACK PAGE TYPE OR PRINT IN INK			RECEIVED _____
			ASSIGNED TO _____
<p>Submission of a completed application is required to request Special Priorities Assistance (SPA). See sections 700.50-55 of the Defense Priorities and Allocations System (DPAS) regulation (15 CFR 700). It is a criminal offense under 18 U.S.C. 1001 to make a wilfully false statement or representation to any U.S. Government agency as to any matter within its jurisdiction. All company information furnished related to this application will be deemed BUSINESS CONFIDENTIAL under Sec. 705(d) of the Defense Production Act of 1950 [50 U.S.C. app. 2155(d)] which prohibits publication or disclosure of this information unless the President determines that withholding it is contrary to the interest of the national defense. The Department of Commerce will assert the appropriate Freedom of Information Act (FOIA) exemptions if such information is the subject of FOIA requests. The unauthorized publication or disclosure or such information by Government personnel is prohibited by law. Violators are subject to fine and/or imprisonment.</p>			
<b>1. APPLICANT INFORMATION</b>			
a. Name and complete address of Applicant (Applicant can be any person needing assistance - government agency, contractor, or supplier. See definition of "Applicant" in Footnotes section on back page of this form).		b. If Applicant is not end-user Government agency, give name and complete address of Applicant's customer.	
Contact's name _____		Contact's name _____	
Title _____		Title _____	
Telephone _____		Telephone _____ FAX _____	
FAX _____		Contract/purchase order no. _____	
		Dated _____ Priority rating _____	
<b>2. APPLICANT ITEM(S).</b> If Applicant is not end-user Government agency, describe item(s) to be delivered by Applicant under its customer's contract or purchase order through the use of item(s) listed in Block 3. If known, identify Government program and end-item for which these items are required. If Applicant is end-user Government agency and Block 3 item(s) are not end-items, identify the end-item for which the Block 3 item(s) are required. See definition of "item" in Footnotes section on page 4 of this form.			
<b>3. ITEM(S) (including service) FOR WHICH APPLICANT REQUESTS ASSISTANCE</b>			
<b>Quantity</b> <i>Pieces, units</i>	<b>Description</b> <i>Include identifying information such as model or part number</i>	<b>Dollar Value</b> <i>Each quantity listed</i>	

<b>4. SUPPLIER INFORMATION</b>								
a. Name and complete address of Applicant's Supplier.   Contact's name _____ Title _____ Telephone _____ FAX _____					b. Applicant's contract or purchase order to Supplier.  Number _____ Dated _____ Priority rating _____ <i>(If none, so state)</i> <i>If Supplier is an agent or distributor, give complete producer or lower tier supplier information in Continuation Block on page 3, including purchase order number, date, and priority rating (if none, so state).</i>			
<b>5. SHIPMENT SCHEDULE OF ITEM(S) SHOWN IN BLOCK 3</b>								
a. Applicant's <u>original</u> shipment/performance requirement	Month Year							Total <u>units</u>
	Number of units							
b. Supplier's <u>original</u> shipment/performance promise	Month Year							Total <u>units</u>
	Number of units							
c. Applicant's <u>current</u> shipment/performance requirement	Month Year							Total <u>units</u>
	Number of units							
d. Supplier's <u>current</u> shipment/performance promise	Month Year							Total <u>units</u>
	Number of units							
<b>6. REASONS GIVEN BY SUPPLIER</b> for inability to meet Applicant's required shipment or performance date(s).								
<b>7. BRIEF STATEMENT OF NEED FOR ASSISTANCE.</b> As applicable, explain effect of delay in receipt of Block 3 item(s) on achieving timely shipment of Block 2 item(s) (e.g., production line shutdown), or the impact on program or project schedule. Describe attempts to resolve problems and give specific reasons why assistance is required. If priority rating authority is requested, please so state.								
<b>8. CERTIFICATION:</b> I certify that the information contained in Blocks 1 - 7 of this form, and all other information attached, is correct and complete to the best of my knowledge and belief (omit signature if this form is electronically generated and transmitted - use of name is deemed certification).  <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           Signature of Applicant's authorized official _____            Print or type name of authorized official _____         </div> <div style="width: 45%;">           Title _____            Date _____         </div> </div>								

<b>9. U.S. GOVERNMENT AGENCY INFORMATION</b>	
<p>a. Name/complete address of cognizant sponsoring service/agency/activity headquarters office. Provide lower level activity, program, project, contract administration, or field office information in Continuation Block below, on duplicate of this page, or on separate sheet of paper.</p> <p>Contact's name _____</p> <p>Signature _____ Date ____/____/____</p> <p>Title _____</p> <p>Telephone _____ FAX _____</p>	<p>b. Case reference no. _____</p> <p>c. Government agency program or project to be supported by Block 2 item(s). Identify end-user agency if not sponsoring agency.</p>
<p>d. Statement of urgency of particular program or project and Applicant's part in it. Specify the extent to which failure to obtain requested assistance will adversely affect the program or project.</p>	
<p>e. Government agency/activity actions taken to attempt resolution of problem.</p>	
<p>f. Recommendation.</p>	
<b>10. ENDORSEMENT</b> by authorized Department or Agency headquarters official (omit signature if this form is electronically generated and transmitted - use of name is deemed authorization). This endorsement is required for all Department of Defense and foreign government requests for assistance.	
Signature of authorized official _____	Title _____
Print or type name of authorized official _____	Date _____
<b>CONTINUATION BLOCK</b> <i>Identify each statement with appropriate block number</i>	

INSTRUCTIONS FOR FILING FORM BXA-999
<p><b>REQUESTS FOR SPECIAL PRIORITIES ASSISTANCE (SPA) MAY BE FILED</b> for any reason in support of the Defense Priorities and Allocations System (DPAS); e.g.: when its regular provisions are not sufficient to obtain delivery of item(s)<sup>1</sup> in time to meet urgent customer or program/project requirements; for help in locating a supplier or placing a rated order; to ensure that rated orders are receiving necessary preferential treatment by suppliers; to resolve production or delivery conflicts between or among rated orders; to verify the urgency or determine the validity of rated orders; or to request authority to use a priority rating. <b>Requests for SPA must be sponsored by the cognizant U.S. Government agency responsible for the program or project supported by the Applicant's<sup>2</sup> contract or purchase order.</b></p> <p><b>REQUESTS FOR SPA SHOULD BE TIMELY AND MUST ESTABLISH:</b></p> <ul style="list-style-type: none"> <li>• The urgent defense (including civil emergency preparedness) or energy program or project related need for the item(s); and that</li> <li>• The Applicant has made a reasonable effort to resolve the problem.</li> </ul> <p><b>APPLICANT MUST COMPLETE BLOCKS 1-8. SPONSORING U.S. GOVERNMENT AGENCY/ACTIVITY MUST COMPLETE BLOCKS 9-10.</b> Sponsoring agency, if not the Department of Defense (DOD), must obtain DOD concurrence if the agency is supporting a DOD program or project. This form may be mechanically or electronically prepared and may be mailed, FAXed, or electronically transmitted.</p> <p><b>WHERE TO FILE THIS FORM:</b></p> <ul style="list-style-type: none"> <li>• Private sector Applicants should file with their respective customers as follows: <b>lower-tier suppliers</b> file with customer/subcontractor for forwarding to subcontractor/prime contractor; <b>subcontractors/suppliers</b> file with prime contractor for forwarding to one of the below listed cognizant U.S. Government (DPAS Delegate) agencies; <b>prime contractors</b> file directly with one of the below listed cognizant U.S. Government (DPAS Delegate) agencies: <ul style="list-style-type: none"> <li>- <b>Department of Defense (DOD)</b> -- File with the local Defense Contract Management Area Office, plant representative or contracting officer, or the appropriate DOD military service, associated agency, program, or project office.</li> <li>- <b>Department of Energy (DOE)</b> -- File with the appropriate Field Operations Office. Requests for SPA for domestic energy projects should be filed with DOE headquarters in Washington, D.C.</li> <li>- <b>General Services Administration (GSA) and Federal Emergency Management Agency (FEMA)</b> -- File with the contracting officer in the agency's regional office or with its headquarters office in Washington, D.C.</li> </ul> </li> <li>• Applicants who are lower level <b>contract administration, program, project, or field offices</b>, or when these activities can not resolve the private sector request for assistance, should forward this form to cognizant sponsoring service/agency/activity headquarters for review, Block 10 endorsement, and forwarding to the U.S. Department of Commerce. <b>Foreign government or private sector entities</b> should file directly with the DOD Office of the Secretary of Defense. <b>Timely review and forwarding is essential to providing timely assistance.</b></li> <li>• If for any reason the Applicant is unable to file this form as specified above, see CONTACTS FOR FURTHER INFORMATION below.</li> </ul> <p><b>CONTACTS FOR FURTHER INFORMATION:</b></p> <ul style="list-style-type: none"> <li>• For any information related to the production or delivery of items against particular rated contracts or purchase orders, contact the cognizant U.S. Government agency, activity, contract administration, program, project, or field office (see WHERE TO FILE above).</li> <li>• If for any reason the Applicant is unable to file this form as specified in WHERE TO FILE above, if the cognizant U.S. Government agency for filing this form cannot be determined, or for any other information or problems related to the completion and filing of this form, the operation or administration of the DPAS, or to obtain a copy of the DPAS or any DPAS training materials, contact the <b>Office of Strategic Industries and Economic Security, Room 3876, U.S. Department of Commerce, Washington, D.C. 20230 (Attn.: DPAS); telephone (202) 482-3634, or FAX (202) 482-5650.</b></li> </ul> <p><b>APPLICANTS REQUIRING PRIORITY RATING AUTHORIZATION TO OBTAIN PRODUCTION OR CONSTRUCTION EQUIPMENT</b> for the performance of rated contracts or orders in support of DOD programs or projects must file <b>DOD Form DD-691, "Application for Priority Rating for Production or Construction Equipment"</b> in accordance with the instructions on that form. For DOE, GSA, or FEMA programs or projects, Applicants may use this form unless the agency requires its own form.</p> <p><b>SPECIAL INSTRUCTIONS:</b></p> <ul style="list-style-type: none"> <li>• If the space in any block is insufficient to provide a clear and complete statement of the information requested, use the <b>Continuation Block</b> provided on this form or a separate sheet to be attached to this form.</li> <li>• Entries in Block 3 should be limited to information from a single contract or purchase order. If SPA is requested for additional contracts or purchase orders placed with a supplier for the same or similar items, information from these contracts or purchase orders may be included in one application. However, each contract or purchase order number must be identified and the quantities, priority rating, delivery requirements, etc., must be shown separately.</li> <li>• If disclosure of certain information on this form is prohibited by security regulations or other security considerations, enter "classified" in the appropriate block in lieu of the restricted information.</li> </ul> <p><b>FOOTNOTES:</b></p> <ol style="list-style-type: none"> <li>1. "Item" is defined in the DPAS as any raw, in process or manufactured material, article, commodity, supply, equipment, component, accessory, part, assembly, or product of any kind, technical information, process or service.</li> <li>2. "Applicant," as used in this form, refers to any person requiring Special Priorities Assistance, and eligible for such assistance under the DPAS. "Person" is defined in the DPAS to include any individual, corporation, partnership, association, any other organized group of persons, a U.S. Government agency, or any other government.</li> </ol> <p><b>BURDEN ESTIMATE AND REQUEST FOR COMMENT</b></p> <p>Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, gathering the data needed, and completing the form. Please send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Robert Kugelman, Director of Administration, Bureau of Export Administration, Room 3889, U.S. Department of Commerce, Washington, D.C. 20230. Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.</p>